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| APPLICATION NO.                      | FILING DATE | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------------|-------------|-------------------------|---------------------|------------------|
| 10/717,089                           | 11/19/2003  | David G. Converse       | C-2593              | 7010             |
| 7590 12/01/2005                      |             |                         | EXAMINER            |                  |
| M. P. Williams                       |             |                         | CANTELMO, GREGG     |                  |
| 210 Main Street Manchester, CT 06040 |             |                         | ART UNIT            | PAPER NUMBER     |
| manonosio, c                         |             |                         | 1745                |                  |
|                                      |             | DATE MAILED: 12/01/2005 |                     |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.  | Applicant(s)  |  |  |  |  |
|---|--|---|--|--|--|--|
|   |  | CONVERSE ET AL.   |  |  |  |  |
| Office Action Summary   | 10/717,089<br>Examiner   | Art Unit  |  |  |  |  |
| •   | Gregg Cantelmo   | 1745  |  |  |  |  |
| The MAILING DATE of this communication  |  |   |  |  |  |  |
| Period for Reply  |  |   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory per  - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the meanmed patent term adjustment. See 37 CFR 1.704(b).  | B DATE OF THIS COMMUNION R 1.136(a). In no event, however, may a control of the c | CATION. reply be timely filed ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status  |  |   |  |  |  |  |
| 1) Responsive to communication(s) filed on 12   | 2 September 2005.  |   |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☑ T   | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.   |   |  |  |  |  |
| ,—  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is  |   |  |  |  |  |
| closed in accordance with the practice unde   | er <i>Ex parte Quayl</i> e, 1935 C.D   | o. 11, 453 O.G. 213.  |  |  |  |  |
| Disposition of Claims   |  |   |  |  |  |  |
| 4) ⊠ Claim(s) 6 is/are pending in the application. 4a) Of the above claim(s) is/are without is/are allowed.  5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) 6 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and   | drawn from consideration.  |   |  |  |  |  |
| Application Papers  |  |   |  |  |  |  |
| 9)☐ The specification is objected to by the Exam  | niner.   |   |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |  |   |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |   |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |  |   |  |  |  |  |
| Priority under 35 U.S.C. § 119  |  |   |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul> |  |   |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date   | Paper No(s   | Summary (PTO-413)<br>s)/Mail Date<br>nformal Patent Application (PTO-152)<br>                               |  |  |  |  |

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### **DETAILED ACTION**

# Response to Amendment

- 1. In response to the amendment received September 12, 2005:
  - a. Claim 6 is pending.

# Claim Rejections - 35 USC § 112

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claim 6 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. the claim recites increasing or decreasing the voltage <u>provided to</u> the electrical storage device in response to the load transient. However it appears that the specification only discloses increasing or decreasing the voltage <u>from</u> the electrical storage device in response to the load transient (see page 8, line 18 through page 9, line 9). Clarification is respectfully requested.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the

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applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claim 6 is rejected under 35 U.S.C. 102(e) as being anticipated by Jung, of record as evidenced by U.S. Patent No. 6,794,844 (Hochgraf).

Jung discloses a fuel cell power plant and method of operating in Fig. 2 comprising a fuel cell stack 103 having electric power output lines, an electric storage element 101 associated with the stack, DC/DC converter 111 which is a means for providing a voltage to element 101 which is a fraction or multiple of the voltage between the output power lines. Controller 119 is a means for controlling the voltage provided to element 101 (Figs .1 and 2 as applied to claim 4). The primary voltage source is the fuel cell system and when load transients occur, the electric storage element 101 accounts for such transients by supplying a voltage which is a fraction or multiple of the voltage between the power output lines to account for the load transients.

In the event that the claimed limitation of increasing/decreasing voltage provided to the electric storage device is not enabled and is meant to be increasing/decreasing voltage from the electrical storage device in response to load transients:

The presence of the DC-DC converter in Jung provides for the same system arrangement wherein, depending upon the operation conditions applied to the converter, the voltage to/from the electric storage device is increased or decreased as needed dependent upon the load conditions and in the presence of any load transients. Such operation of a DC-DC converter being inherent for providing a multiple or fraction

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of the voltage of the electric storage device as evidenced by Hochgraf (Fig. 2 and col. 2, II.48-58).

Therefore upon operation of the system of Jung the configuration therein is inherently operated such that the voltage from the secondary electrical storage device is increased or decreased by the DC-DC converter to adjust and account for load transients along the power system.

## Response to Arguments

6. Applicant's statement with respect to claim 6 has been considered but is moot in view of the new ground(s) of rejection.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Jung of record, in view of U.S. Patent No. 6,737,762 (Koenig).

Jung discloses a fuel cell power plant and method of operating in Fig. 2 comprising a fuel cell stack 103 having electric power output lines, an electric storage element 101 associated with the stack, DC/DC converter 111 which is a means for providing a voltage to element 101 which is a fraction or multiple of the voltage between the output power lines. Controller 119 is a means for controlling the voltage provided to element 101 (Figs .1 and 2 as applied to claim 4). The primary voltage source is the

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fuel cell system and when load transients occur, the electric storage element 101 accounts for such transients by supplying a voltage which is a fraction or multiple of the voltage between the power output lines to account for the load transients.

In the event that the claimed limitation of increasing/decreasing voltage provided to the electric storage device is enabled: the difference between Jung and claim 6 is that Jung does not expressly disclose controlling the voltage by increasing or decreasing the voltage.

The presence of the DC-DC converter in Jung provides for the same system arrangement wherein, depending upon the operation conditions applied to the converter, the voltage to/from the electric storage device can readily be increased or decreased as needed dependent upon the load conditions and in the presence of any load transients.

Koenig discloses that a DC-DC converter coupled in series with a rechargeable electric storage device can provide increased or decreased voltages to the storage device in response to load transients.

The motivation for controlling the voltage to the electric storage device in response to load transients is that it improves the power management of the power system.

Therefore it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to modify the teachings of Jung by operating the DC-DC converter of Jung to supply fractional or multiple voltages to the electric storage

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device in response to load transients since it would have improved the power management of the system.

### Response to Arguments

8. Applicant's statement with respect to claim 6 has been considered but is moot in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregg Cantelmo whose telephone number is (571) 272-1283. The examiner can normally be reached on Monday to Thursday from 9 a.m. to 6 p.m. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Pat Ryan, can be reached on (571) 272-1292. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. FAXES received after 4 p.m. will not be processed until the following business day. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Drey Canto

gc

November 28, 2005

GREGG CANTELMO